

Stephens Fee Schedule

Stephens Advantage Accounts

Cash management account with check writing, Visa® debit card, RewardSuite™ and online bill pay.

Annual Fee (no fee the first year; fee charged on anniversary of Stephens Advantage Account opening)¹

Silver account ² :	\$25
Silver Plus account:	\$50
Gold account:	\$100
Platinum account:	\$150
Corporate Gold account:	\$150
Corporate Platinum account:	\$250

See Stephens Advantage Account (Corestone®) Financial Terms for additional information.

Wire Transfer

National:	\$15 per wire
International:	\$30 per wire

Returned Checks

Personal checks or any other check deposited into your account at Stephens that is returned.¹ \$25 per check

Stop Payment on Stephens Inc. Issued Checks

A stop payment is requested on a check within seven business days of issue.¹ \$15 per check

Handling Fee

This is collected on all confirmations brokerage accounts, advisory accounts are excluded. \$4.95 per confirmation

Transfer on Death Distributions

This fee is charged at the time the assets are transferred to the beneficiaries.¹ \$200

Inactive Brokerage Account Fee

If at least one of the following criteria are not met the fee will be charged annually.
1.) statement household assets are greater than \$500,000 or; 2.) brokerage account revenue is greater than \$100 or;
3.) average revenue per account in the statement household is greater than \$100.¹ \$50 per account

IRA Closing Fee

This fee is charged at the closing of any IRA account. \$95

Account Transfer Fee

When an account submits an Account Transfer Form (ACAT) to Stephens Inc. from another institution.¹ \$100 per account

Employee Stock Option

When an an Employee Stock Option is executed, a handling fee will be charged. Stephens incurs charges from a transfer agent and these charges will be passed on to the client.¹ Varies

Transaction Fee

Under Section 31 of the Securities Exchange Act of 1934, U.S. national securities exchanges are obligated to pay transaction fees to the SEC based on the volume of securities that are sold on their markets. The Exchanges require their broker-dealer members to pay a share of these fees who, in turn, pass the responsibility of paying the fees to their customers. This fee applies to the sale of stocks, options and single stock futures.

Miscellaneous

On occasion, Stephens may incur extraordinary clearing and safekeeping charges. These charges will be passed through to the client. Every reasonable effort will be made to notify you prior to incurring these charges.

¹ The fee is reflected on the statement.

² The \$25 fee will be waived for Stephens Advantage Accounts (Corestone®) that have \$25,000 or more in average month-end closing sweep balances.