

Stephens Inc. – Stephens Insured Bank Sweep Program

Multiple List Program

Effective – October 12, 2021

Position	List 1 - Retail	List 2 - Retail	List 3 - Retail	List 4 - Retail	List 5 - Retail
	AL,IA,KS,KY,LA,MS,MO,NE,OK,TN	IL,IN,MI,OH,WI	DE,MD,NJ,PA,DC	AK,CO,ID,MN,MT,ND,OR,SD,WA,WY	CT,ME,MA,NH,NY,RI,VT
1	First Republic Bank	BNY Mellon, N.A.	Citibank, N.A.	Wells Fargo Bank, NA	First Republic Bank
2	American Express National Bank	First Republic Bank	First Republic Bank	First Republic Bank	Wells Fargo Bank, NA
3	EagleBank	Goldman Sachs Bank USA	American Express National Bank	Bank of Baroda	Capital One Bank (USA), N.A.
4	Capital One Bank (USA), N.A.	WEX Bank	BNY Mellon, N.A.	Citibank, N.A.	American Express National Bank
5	Wells Fargo Bank, NA	Capital One Bank (USA), N.A.	EagleBank	First Horizon Bank	Citibank, N.A.
6	Bank of Baroda	Century Bank and Trust	Bank of India	Capital One Bank (USA), N.A.	Bank of India
7	WEX Bank	EagleBank	First Horizon Bank	American Express National Bank	Bank of Baroda
8	Bank of India	Bank of India	Pinnacle Bank	WEX Bank	BNY Mellon, N.A.
9	First Horizon Bank	Citibank, N.A.	Morgan Stanley Private Bank, NA	Morgan Stanley Bank, National Associati	Century Bank and Trust
10	Century Bank and Trust	American Express National Bank	Bank of Baroda	Bank of India	First Horizon Bank
11	New York Community Bank	Truist Bank	Century Bank and Trust	Truist Bank	Morgan Stanley Bank, National Associati
12	Simmons Bank	Morgan Stanley Private Bank, NA	Goldman Sachs Bank USA	Cadence Bank, N.A.	Morgan Stanley Private Bank, NA
13	Union Bank and Trust	Enterprise Bank & Trust	Truist Bank	Simmons Bank	EagleBank
14	Goldman Sachs Bank USA	Bank of Baroda	Bryn Maw r Trust Co.	Morgan Stanley Private Bank, NA	Enterprise Bank & Trust
15	Citibank, N.A.	Cadence Bank, N.A.	Capital One Bank (USA), N.A.	Bryn Maw r Trust Co.	Bryn Maw r Trust Co.
16	Truist Bank	Simmons Bank	Simmons Bank	Union Bank and Trust	Goldman Sachs Bank USA
17	Morgan Stanley Private Bank, NA	Bank of New Hampshire	Cadence Bank, N.A.	Bank of New Hampshire	Cadence Bank, N.A.
18	Bryn Maw r Trust Co.	Union Bank and Trust	Union Bank and Trust	EagleBank	Simmons Bank
19	Enterprise Bank & Trust	Tow ne Bank	Bank of New Hampshire	Tow ne Bank	Truist Bank
20	Cadence Bank, N.A.	PNC Bank	Enterprise Bank & Trust	BNY Mellon, N.A.	Bank of New Hampshire
21	Bank of New Hampshire	Wells Fargo Bank, NA	Tow ne Bank	PNC Bank	Union Bank and Trust
22	Tow ne Bank	Morgan Stanley Bank, National Associati	Wells Fargo Bank, NA	First County Bank	WEX Bank
23	PNC Bank	First County Bank	Morgan Stanley Bank, National Associati	Goldman Sachs Bank USA	Tow ne Bank
24	Morgan Stanley Bank, National Associati	Bryn Maw r Trust Co.	First County Bank	Enterprise Bank & Trust	PNC Bank
25	First County Bank	New York Community Bank	PNC Bank	New York Community Bank	First County Bank
26	BNY Mellon, N.A.	First Horizon Bank	New York Community Bank	Century Bank and Trust	New York Community Bank
27	Pinnacle Bank	Pinnacle Bank	WEX Bank	Pinnacle Bank	Pinnacle Bank
28	BankUnited	BankUnited	BankUnited	BankUnited	BankUnited

Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
3	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA

Position	List 6 - Retail	List 7 - Retail	List 8 - Retail	List 9 - Retail	List 10 - Business
	FL,GA,NC,SC,VA,WV	AZ,NV,NM,TX,UT	CA,HI, Other Territories	AR	All States
1	American Express National Bank	American Express National Bank	American Express National Bank	First Republic Bank	Citibank, N.A.
2	EagleBank	EagleBank	First Republic Bank	American Express National Bank	First Republic Bank
3	First Republic Bank	First Republic Bank	BNY Mellon, N.A.	EagleBank	EagleBank
4	Truist Bank	Capital One Bank (USA), N.A.	Citibank, N.A.	Truist Bank	Capital One Bank (USA), N.A.
5	BNY Mellon, N.A.	BNY Mellon, N.A.	WEX Bank	Wells Fargo Bank, NA	Bank of India
6	Bank of Baroda	Bank of Baroda	Bank of India	BNY Mellon, N.A.	Wells Fargo Bank, NA
7	First Horizon Bank	Bank of India	Bank of Baroda	Citibank, N.A.	BNY Mellon, N.A.
8	Century Bank and Trust	Century Bank and Trust	EagleBank	Bank of Baroda	Bank of Baroda
9	Pinnacle Bank	WEX Bank	Goldman Sachs Bank USA	Century Bank and Trust	New York Community Bank
10	Bank of India	Citibank, N.A.	Truist Bank	First Horizon Bank	WEX Bank
11	Citibank, N.A.	First Horizon Bank	Morgan Stanley Private Bank, NA	Bank of India	Pinnacle Bank
12	Morgan Stanley Bank, National Associati	Morgan Stanley Private Bank, NA	Bryn Maw r Trust Co.	Morgan Stanley Bank, National Associati	Cadence Bank, N.A.
13	Enterprise Bank & Trust	Enterprise Bank & Trust	Enterprise Bank & Trust	Pinnacle Bank	Truist Bank
14	Bryn Maw r Trust Co.	Cadence Bank, N.A.	Wells Fargo Bank, NA	Enterprise Bank & Trust	PNC Bank
15	Morgan Stanley Private Bank, NA	Simmons Bank	Cadence Bank, N.A.	Goldman Sachs Bank USA	Enterprise Bank & Trust
16	Cadence Bank, N.A.	Union Bank and Trust	Capital One Bank (USA), N.A.	Morgan Stanley Private Bank, NA	Bryn Maw r Trust Co.
17	Simmons Bank	Bank of New Hampshire	Simmons Bank	Simmons Bank	Union Bank and Trust
18	Bank of New Hampshire	Truist Bank	Union Bank and Trust	Bank of New Hampshire	Bank of New Hampshire
19	Capital One Bank (USA), N.A.	Bryn Maw r Trust Co.	Bank of New Hampshire	Capital One Bank (USA), N.A.	Tow ne Bank
20	PNC Bank	Tow ne Bank	Tow ne Bank	Union Bank and Trust	First County Bank
21	Union Bank and Trust	Wells Fargo Bank, NA	PNC Bank	Tow ne Bank	Simmons Bank
22	Tow ne Bank	Goldman Sachs Bank USA	Morgan Stanley Bank, National Associati	PNC Bank	First Horizon Bank
23	First County Bank	PNC Bank	First County Bank	Bryn Maw r Trust Co.	Century Bank and Trust
24	Wells Fargo Bank, NA	Morgan Stanley Bank, National Associati	New York Community Bank	Cadence Bank, N.A.	BankUnited
25	Goldman Sachs Bank USA	First County Bank	First Horizon Bank	First County Bank	
26	New York Community Bank	New York Community Bank	Century Bank and Trust	New York Community Bank	
27	WEX Bank	Pinnacle Bank	Pinnacle Bank	WEX Bank	
28	BankUnited	BankUnited	BankUnited	BankUnited	

Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
3	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA

Note: A client's state of residence determines their priority list. States are provided below the List #–Retail. Businesses use List 10.