

Stephens Inc. – Stephens Insured Bank Sweep Program

Multiple List Program

Effective – April 3, 2023

Positi	List 1 - Retail	List 2 - Retail	List 3 - Retail	List 4 - Retail	List 5 - Retail
	AL,IA,KS,KY,LA,MS,MO,NE,OK,TN	IL,IN,MI,OH,WI	DE,MD,NJ,PA,DC	AK,CO,ID,MN,MT,ND,OR,SD,WA,WY	CT,ME,MA,NH,NY,RI,VT
1	Huntington National Bank	Huntington National Bank	Citibank, N.A.	Huntington National Bank	Huntington National Bank
2	American Express National Bank	Morgan Stanley Private Bank, NA	Morgan Stanley Private Bank, NA	Morgan Stanley Private Bank, NA	Morgan Stanley Private Bank, NA
3	EagleBank	Goldman Sachs Bank USA	Huntington National Bank	First Horizon Bank	Capital One NA
4	Capital One NA	WEX Bank	American Express National Bank	Bank of Baroda	American Express National Bank
5	UBS Bank USA	Truist Bank	Barclays Bank Delaw are	Citibank, N.A.	Citibank, N.A.
6	First Horizon Bank	Capital One NA	EagleBank	Capital One NA	Truist Bank
7	BankUnited	UBS Bank USA	Bank of India	American Express National Bank	Bank of India
8	Bank of Baroda	EagleBank	First Horizon Bank	WEX Bank	Bank of Baroda
9	WEX Bank	Bank of India	Bank of Baroda	Morgan Stanley Bank, National Associati	Barclays Bank Delaw are
10	Bank of India	Citibank, N.A.	Goldman Sachs Bank USA	Barclays Bank Delaw are	UBS Bank USA
11	Barclays Bank Delaw are	American Express National Bank	Forbriht Bank	Forbriht Bank	First Horizon Bank
12	Forbriht Bank	Forbriht Bank	UBS Bank USA	Bank of India	Morgan Stanley Bank, National Associati
13	Union Bank and Trust	Enterprise Bank & Trust	Truist Bank	UBS Bank USA	EagleBank
14	Goldman Sachs Bank USA	Bank of Baroda	Capital One NA	Truist Bank	Forbriht Bank
15	Citibank, N.A.	Cadence Bank, N.A.	Cadence Bank, N.A.	Cadence Bank, N.A.	Enterprise Bank & Trust
16	Truist Bank	Barclays Bank Delaw are	Union Bank and Trust	Union Bank and Trust	Goldman Sachs Bank USA
17	Morgan Stanley Private Bank, NA	Bank of New Hampshire	Bank of New Hampshire	Bank of New Hampshire	Cadence Bank, N.A.
18	Enterprise Bank & Trust	Union Bank and Trust	Enterprise Bank & Trust	EagleBank	Bank of New Hampshire
19	Cadence Bank, N.A.	Morgan Stanley Bank, National Associati	Morgan Stanley Bank, National Associati	Goldman Sachs Bank USA	Union Bank and Trust
20	Bank of New Hampshire	First Horizon Bank	WEX Bank	Enterprise Bank & Trust	WEX Bank
21	Morgan Stanley Bank, National Associati	BankUnited	BankUnited	BankUnited	BankUnited

Positi	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One NA	Capital One NA	Capital One NA	Capital One NA	Capital One NA
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.

Position	List 6 - Retail	List 7 - Retail	List 8 - Retail	List 9 - Retail	List 10 - Business
	FL,GA,NC,SC,VA,WV	AZ,NV,NM,TX,UT	CA,HI, Other Territories	AR	All States
1	American Express National Bank	American Express National Bank	American Express National Bank	UBS Bank USA	Citibank, N.A.
2	EagleBank	EagleBank	Citibank, N.A.	Huntington National Bank	Huntington National Bank
3	Truist Bank	Huntington National Bank	WEX Bank	Morgan Stanley Private Bank, NA	EagleBank
4	Huntington National Bank	Morgan Stanley Private Bank, NA	Bank of India	American Express National Bank	Capital One NA
5	Bank of Baroda	Capital One NA	Bank of Baroda	First Horizon Bank	Bank of India
6	First Horizon Bank	Bank of Baroda	EagleBank	EagleBank	Bank of Baroda
7	Bank of India	Bank of India	Goldman Sachs Bank USA	BankUnited	WEX Bank
8	Citibank, N.A.	WEX Bank	Truist Bank	Truist Bank	Cadence Bank, N.A.
9	Morgan Stanley Bank, National Associati	Citibank, N.A.	Huntington National Bank	Citibank, N.A.	Truist Bank
10	Forbriht Bank	UBS Bank USA	Barclays Bank Delaw are	Bank of Baroda	Barclays Bank Delaw are
11	Barclays Bank Delaw are	Barclays Bank Delaw are	Forbriht Bank	Bank of India	Enterprise Bank & Trust
12	UBS Bank USA	First Horizon Bank	Morgan Stanley Private Bank, NA	Barclays Bank Delaw are	Union Bank and Trust
13	Enterprise Bank & Trust	Enterprise Bank & Trust	Enterprise Bank & Trust	Forbriht Bank	Bank of New Hampshire
14	Morgan Stanley Private Bank, NA	Cadence Bank, N.A.	Cadence Bank, N.A.	Morgan Stanley Bank, National Associati	First Horizon Bank
15	Cadence Bank, N.A.	Union Bank and Trust	Capital One NA	Enterprise Bank & Trust	BankUnited
16	Bank of New Hampshire	Bank of New Hampshire	Union Bank and Trust	Goldman Sachs Bank USA	
17	Capital One NA	Truist Bank	Bank of New Hampshire	Bank of New Hampshire	
18	Union Bank and Trust	Forbriht Bank	Morgan Stanley Bank, National Associati	Capital One NA	
19	Goldman Sachs Bank USA	Goldman Sachs Bank USA	First Horizon Bank	Union Bank and Trust	
20	WEX Bank	Morgan Stanley Bank, National Associati	BankUnited	Cadence Bank, N.A.	
21	BankUnited	BankUnited		WEX Bank	

Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One NA	Capital One NA	Capital One NA	Capital One NA	Capital One NA
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.

Note: A client's state of residence determines their priority list. States are provided below the List #-Retail. Businesses use List 10.