

Stephens Inc. – Stephens Insured Bank Sweep Program

Multiple List Program Effective – July 5, 2022

| Position | List 1 - Retail | List 2 - Retail | List 3 - Retail | List 4 - Retail | List 5 - Retail |
|----------|---|---|---|---|---|
| | AL,IA,KS,KY,LA,MS,MO,NE,OK,TN | IL,IN,MI,OH,WI, | DE,MD,NJ,PA,DC | AK,CO,ID,MT,MN,ND,OR,SD,WA,WY | CT,ME,MA,NH,NY,RI,VT |
| 1 | Huntington National Bank | BNY Mellon, N.A. | Citibank, N.A. | Huntington National Bank | Huntington National Bank |
| 2 | American Express National Bank | Huntington National Bank | Morgan Stanley Private Bank, NA | Morgan Stanley Private Bank, NA | Morgan Stanley Private Bank, NA |
| 3 | EagleBank | Morgan Stanley Private Bank, NA | Huntington National Bank | First Horizon Bank | Simmons Bank |
| 4 | Capital One Bank (USA), N.A. | Goldman Sachs Bank USA | American Express National Bank | Bank of Baroda | Capital One Bank (USA), N.A. |
| 5 | UBS Bank USA | WEX Bank | BNY Mellon, N.A. | Citibank, N.A. | American Express National Bank |
| 6 | Simmons Bank | Truist Bank | Barclays Bank Delaware | Simmons Bank | Citibank, N.A. |
| 7 | First Horizon Bank | Capital One Bank (USA), N.A. | EagleBank | Capital One Bank (USA), N.A. | Truist Bank |
| 8 | Pinnacle Bank | UBS Bank USA | Bank of India | American Express National Bank | Bank of India |
| 9 | BankUnited | EagleBank | First Horizon Bank | WEX Bank | Bank of Baroda |
| 10 | Bank of Baroda | Bank of India | Pinnacle Bank | Morgan Stanley Bank, National Associati | Barclays Bank Delaware |
| 11 | WEX Bank | Citibank, N.A. | First Republic Bank | Barclays Bank Delaware | BNY Mellon, N.A. |
| 12 | Bank of India | American Express National Bank | Bank of Baroda | Forbright Bank | UBS Bank USA |
| 13 | New York Community Bank | Forbright Bank | Goldman Sachs Bank USA | Bank of India | First Horizon Bank |
| 14 | First Republic Bank | First Republic Bank | Forbright Bank | UBS Bank USA | Morgan Stanley Bank, National Associati |
| 15 | Barclays Bank Delaware | Enterprise Bank & Trust | UBS Bank USA | Truist Bank | First Republic Bank |
| 16 | Forbright Bank | Bank of Baroda | Truist Bank | Cadence Bank, N.A. | EagleBank |
| 17 | Union Bank and Trust | Cadence Bank, N.A. | Capital One Bank (USA), N.A. | First Republic Bank | Forbright Bank |
| 18 | Goldman Sachs Bank USA | Simmons Bank | Simmons Bank | Union Bank and Trust | Enterprise Bank & Trust |
| 19 | Citibank, N.A. | Barclays Bank Delaware | Cadence Bank, N.A. | Bank of New Hampshire | Goldman Sachs Bank USA |
| 20 | Truist Bank | Bank of New Hampshire | Union Bank and Trust | EagleBank | Cadence Bank, N.A. |
| 21 | Morgan Stanley Private Bank, NA | Union Bank and Trust | Bank of New Hampshire | Tow ne Bank | Bank of New Hampshire |
| 22 | Enterprise Bank & Trust | Tow ne Bank | Enterprise Bank & Trust | BNY Mellon, N.A. | Union Bank and Trust |
| 23 | Cadence Bank, N.A. | PNC Bank | Tow ne Bank | PNC Bank | WEX Bank |
| 24 | Bank of New Hampshire | Morgan Stanley Bank, National Associati | Morgan Stanley Bank, National Associati | Goldman Sachs Bank USA | Tow ne Bank |
| 25 | Tow ne Bank | New York Community Bank | PNC Bank | Enterprise Bank & Trust | PNC Bank |
| 26 | PNC Bank | First Horizon Bank | New York Community Bank | New York Community Bank | New York Community Bank |
| 27 | Morgan Stanley Bank, National Associati | Pinnacle Bank | WEX Bank | Pinnacle Bank | Pinnacle Bank |
| 28 | BNY Mellon, N.A. | BankUnited | BankUnited | BankUnited | BankUnited |

| Position | Excess Bank List | Excess Bank List | Excess Bank List | Excess Bank List | Excess Bank List |
|----------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| 1 | Capital One Bank (USA), N.A. | Capital One Bank (USA), N.A. | Capital One Bank (USA), N.A. | Capital One Bank (USA), N.A. | Capital One Bank (USA), N.A. |
| 2 | Citibank, N.A. | Citibank, N.A. | Citibank, N.A. | Citibank, N.A. | Citibank, N.A. |

| Position | List 6 - Retail | List 7 - Retail | List 8 - Retail | List 9 - Retail | List 10 - Business |
|----------|---|---|---|---|------------------------------|
| | FL,GA,NC,SC,VA,WV | AZ,NV,NM,TX,UT | CA,HI, Other Territories | AR | All States |
| 1 | American Express National Bank | American Express National Bank | American Express National Bank | UBS Bank USA | Citibank, N.A. |
| 2 | EagleBank | EagleBank | BNY Mellon, N.A. | Huntington National Bank | Huntington National Bank |
| 3 | Truist Bank | Huntington National Bank | Citibank, N.A. | Morgan Stanley Private Bank, NA | EagleBank |
| 4 | Huntington National Bank | Morgan Stanley Private Bank, NA | WEX Bank | American Express National Bank | Capital One Bank (USA), N.A. |
| 5 | BNY Mellon, N.A. | Capital One Bank (USA), N.A. | Bank of India | First Horizon Bank | Bank of India |
| 6 | Bank of Baroda | BNY Mellon, N.A. | Bank of Baroda | EagleBank | Simmons Bank |
| 7 | First Horizon Bank | Bank of Baroda | EagleBank | Pinnacle Bank | BNY Mellon, N.A. |
| 8 | Pinnacle Bank | Bank of India | Goldman Sachs Bank USA | Simmons Bank | First Republic Bank |
| 9 | Bank of India | WEX Bank | Truist Bank | First Republic Bank | Bank of Baroda |
| 10 | Citibank, N.A. | Citibank, N.A. | Huntington National Bank | BankUnited | New York Community Bank |
| 11 | First Republic Bank | UBS Bank USA | First Republic Bank | Truist Bank | WEX Bank |
| 12 | Morgan Stanley Bank, National Associati | Barclays Bank Delaware | Barclays Bank Delaware | BNY Mellon, N.A. | Pinnacle Bank |
| 13 | Forbright Bank | First Republic Bank | Forbright Bank | Citibank, N.A. | Cadence Bank, N.A. |
| 14 | Barclays Bank Delaware | First Horizon Bank | Morgan Stanley Private Bank, NA | Bank of Baroda | Truist Bank |
| 15 | UBS Bank USA | Enterprise Bank & Trust | Enterprise Bank & Trust | Bank of India | Barclays Bank Delaware |
| 16 | Enterprise Bank & Trust | Cadence Bank, N.A. | Cadence Bank, N.A. | Barclays Bank Delaware | PNC Bank |
| 17 | Morgan Stanley Private Bank, NA | Simmons Bank | Capital One Bank (USA), N.A. | Forbright Bank | Enterprise Bank & Trust |
| 18 | Cadence Bank, N.A. | Union Bank and Trust | Simmons Bank | Morgan Stanley Bank, National Associati | Union Bank and Trust |
| 19 | Simmons Bank | Bank of New Hampshire | Union Bank and Trust | Enterprise Bank & Trust | Bank of New Hampshire |
| 20 | Bank of New Hampshire | Truist Bank | Bank of New Hampshire | Goldman Sachs Bank USA | Tow ne Bank |
| 21 | Capital One Bank (USA), N.A. | Forbright Bank | Tow ne Bank | Bank of New Hampshire | First Horizon Bank |
| 22 | PNC Bank | Tow ne Bank | PNC Bank | Capital One Bank (USA), N.A. | BankUnited |
| 23 | Union Bank and Trust | Goldman Sachs Bank USA | Morgan Stanley Bank, National Associati | Union Bank and Trust | |
| 24 | Tow ne Bank | PNC Bank | New York Community Bank | Tow ne Bank | |
| 25 | Goldman Sachs Bank USA | Morgan Stanley Bank, National Associati | First Horizon Bank | PNC Bank | |
| 26 | New York Community Bank | New York Community Bank | Pinnacle Bank | Cadence Bank, N.A. | |
| 27 | WEX Bank | Pinnacle Bank | BankUnited | New York Community Bank | |
| 28 | BankUnited | BankUnited | | WEX Bank | |

| Position | Excess Bank List | Excess Bank List | Excess Bank List | Excess Bank List | Excess Bank List |
|----------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| 1 | Capital One Bank (USA), N.A. | Capital One Bank (USA), N.A. | Capital One Bank (USA), N.A. | Capital One Bank (USA), N.A. | Capital One Bank (USA), N.A. |
| 2 | Citibank, N.A. | Citibank, N.A. | Citibank, N.A. | Citibank, N.A. | Citibank, N.A. |

Note: A client's state of residence determines their priority list. States are provided below the List #–Retail. Businesses use List 10.