

Opt-Out Request

To: Privacy Officer
Compliance Department
Stephens Inc.
111 Center Street
Little Rock, AR 72201

Subject: Request to Opt Out of Information Sharing if Broker Moves to a New Firm

Message:

I am writing to request that Stephens Inc. not disclose or otherwise share my personal information with any non-affiliated third party—including, without limitation, another broker-dealer or registered representative—in the event that my broker or financial professional transitions to a new brokerage firm.

Please process this request in accordance with your privacy policy and applicable law.

Full Name: _____

Address: _____

City, State, ZIP: _____

Phone Number: _____

Email Address: _____

Account Number(s): _____

Signature: _____

Date: _____

Submission Instructions

You may submit this form by:

Mail:

Attn: Privacy Officer, Compliance, Stephens Inc., 111 Center Street, Little Rock, AR 72201

Once your request is received, we will confirm receipt and process your opt-out in accordance with applicable federal and state privacy regulations.

Important Notice

Please note that this opt-out request applies only to the sharing of your personal information with a **non-affiliated firm** in connection with your broker's move. It does **not** affect other permissible uses or disclosures of your information as described in our **Privacy Policy**.

Stephens may be unable to process your opt-out request if you do not provide sufficient information for us to identify the relevant account(s).