

Stephens Inc. – Stephens Insured Bank Sweep Program

Multiple List Program

Effective – January 13, 2023

Position	List 1 - Retail	List 2 - Retail	List 3 - Retail	List 4 - Retail	List 5 - Retail
	AL,IA,KS,KY,LA,MS,MO,NE,OK,TN	IL,IN,MI,OH,WI	DE,MD,NJ,PA,DC	AK,CO,ID,MN,MT,ND,OR,SD,WA,WY	CT,ME,MA,NH,NY,RI,VT
1	Huntington National Bank	Huntington National Bank	Citibank, N.A.	Huntington National Bank	Huntington National Bank
2	American Express National Bank	Morgan Stanley Private Bank, NA	Morgan Stanley Private Bank, NA	Morgan Stanley Private Bank, NA	Morgan Stanley Private Bank, NA
3	EagleBank	Goldman Sachs Bank USA	Huntington National Bank	First Horizon Bank	Simmons Bank
4	Capital One NA	WEX Bank	American Express National Bank	Bank of Baroda	Capital One NA
5	UBS Bank USA	Truist Bank	Barclays Bank Delaware	Citibank, N.A.	American Express National Bank
6	Simmons Bank	Capital One NA	EagleBank	Simmons Bank	Citibank, N.A.
7	First Horizon Bank	UBS Bank USA	Bank of India	Capital One NA	Truist Bank
8	BankUnited	EagleBank	First Horizon Bank	American Express National Bank	Bank of India
9	Bank of Baroda	Bank of India	First Republic Bank	WEX Bank	Bank of Baroda
10	WEX Bank	Citibank, N.A.	Bank of Baroda	Morgan Stanley Bank, National Associati	Barclays Bank Delaware
11	Bank of India	American Express National Bank	Goldman Sachs Bank USA	Barclays Bank Delaware	UBS Bank USA
12	Flagstar Bank NA	Forbright Bank	Forbright Bank	Forbright Bank	First Horizon Bank
13	First Republic Bank	First Republic Bank	UBS Bank USA	Bank of India	Morgan Stanley Bank, National Associati
14	Barclays Bank Delaware	Enterprise Bank & Trust	Truist Bank	UBS Bank USA	First Republic Bank
15	Forbright Bank	Bank of Baroda	Capital One NA	Truist Bank	EagleBank
16	Union Bank and Trust	Cadence Bank, N.A.	Simmons Bank	Cadence Bank, N.A.	Forbright Bank
17	Goldman Sachs Bank USA	Simmons Bank	Cadence Bank, N.A.	First Republic Bank	Enterprise Bank & Trust
18	Citibank, N.A.	Barclays Bank Delaware	Union Bank and Trust	Union Bank and Trust	Goldman Sachs Bank USA
19	Truist Bank	Bank of New Hampshire	Bank of New Hampshire	Bank of New Hampshire	Cadence Bank, N.A.
20	Morgan Stanley Private Bank, NA	Union Bank and Trust	Enterprise Bank & Trust	EagleBank	Bank of New Hampshire
21	Enterprise Bank & Trust	Morgan Stanley Bank, National Associati	Morgan Stanley Bank, National Associati	Goldman Sachs Bank USA	Union Bank and Trust
22	Cadence Bank, N.A.	Flagstar Bank NA	Flagstar Bank NA	Enterprise Bank & Trust	WEX Bank
23	Bank of New Hampshire	First Horizon Bank	WEX Bank	Flagstar Bank NA	Flagstar Bank NA
24	Morgan Stanley Bank, National Associati	BankUnited	BankUnited	BankUnited	BankUnited

Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One NA	Capital One NA	Capital One NA	Capital One NA	Capital One NA
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.

Position	List 6 - Retail	List 7 - Retail	List 8 - Retail	List 9 - Retail	List 10 - Business
	FL,GA,NC,SC,VA,WV	AZ,NV,NM,TX,UT	CA,HI, Other Territories	AR	All States
1	American Express National Bank	American Express National Bank	American Express National Bank	UBS Bank USA	Citibank, N.A.
2	EagleBank	EagleBank	Citibank, N.A.	Huntington National Bank	Huntington National Bank
3	Truist Bank	Huntington National Bank	WEX Bank	Morgan Stanley Private Bank, NA	EagleBank
4	Huntington National Bank	Morgan Stanley Private Bank, NA	Bank of India	American Express National Bank	Capital One NA
5	Bank of Baroda	Capital One NA	Bank of Baroda	First Horizon Bank	Bank of India
6	First Horizon Bank	Bank of Baroda	EagleBank	EagleBank	Simmons Bank
7	Bank of India	Bank of India	Goldman Sachs Bank USA	Simmons Bank	First Republic Bank
8	Citibank, N.A.	WEX Bank	Truist Bank	First Republic Bank	Bank of Baroda
9	First Republic Bank	Citibank, N.A.	Huntington National Bank	BankUnited	Flagstar Bank NA
10	Morgan Stanley Bank, National Associati	UBS Bank USA	First Republic Bank	Truist Bank	WEX Bank
11	Forbright Bank	Barclays Bank Delaware	Barclays Bank Delaware	Citibank, N.A.	Cadence Bank, N.A.
12	Barclays Bank Delaware	First Republic Bank	Forbright Bank	Bank of Baroda	Truist Bank
13	UBS Bank USA	First Horizon Bank	Morgan Stanley Private Bank, NA	Bank of India	Barclays Bank Delaware
14	Enterprise Bank & Trust	Enterprise Bank & Trust	Enterprise Bank & Trust	Barclays Bank Delaware	Enterprise Bank & Trust
15	Morgan Stanley Private Bank, NA	Cadence Bank, N.A.	Cadence Bank, N.A.	Forbright Bank	Union Bank and Trust
16	Cadence Bank, N.A.	Simmons Bank	Capital One NA	Morgan Stanley Bank, National Associati	Bank of New Hampshire
17	Simmons Bank	Union Bank and Trust	Simmons Bank	Enterprise Bank & Trust	First Horizon Bank
18	Bank of New Hampshire	Bank of New Hampshire	Union Bank and Trust	Goldman Sachs Bank USA	BankUnited
19	Capital One NA	Truist Bank	Bank of New Hampshire	Bank of New Hampshire	
20	Union Bank and Trust	Forbright Bank	Morgan Stanley Bank, National Associati	Capital One NA	
21	Goldman Sachs Bank USA	Goldman Sachs Bank USA	Flagstar Bank NA	Union Bank and Trust	
22	Flagstar Bank NA	Morgan Stanley Bank, National Associati	First Horizon Bank	Cadence Bank, N.A.	
23	WEX Bank	Flagstar Bank NA	BankUnited	Flagstar Bank NA	
24	BankUnited	BankUnited		WEX Bank	
25					

Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One NA	Capital One NA	Capital One NA	Capital One NA	Capital One NA
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.

Note: A client's state of residence determines their priority list. States are provided below the List #–Retail. Businesses use List 10.