



Stephens Insured Bank Sweep Program

Current Applicable Interest Rates by Household Tier Level

Tier Level	Client Household Value	Advisory / Fee Based Account Rate As of 10/5/2026
Tier 1	Less than \$100,000	0.10%
Tier 2	\$100,000 - \$249,999	0.20%
Tier 3	\$250,000 - \$499,999	0.25%
Tier 4	\$500,000 - \$999,999	0.35%
Tier 5	\$1,000,000 - \$1,999,999	1.05%
Tier 6	\$2,000,000 - \$4,999,999	1.05%
Tier 7	\$5,000,000 - \$9,999,999	1.25%
Tier 8	\$10,000,000 - \$19,999,999	1.50%
Tier 9	\$20,000,000 or greater	1.50%

Tier Level	Client Household Value	Brokerage / Commission Based Account Rate As of 10/5/2026
Tier 1	Less than \$100,000	0.05%
Tier 2	\$100,000 - \$249,999	0.05%
Tier 3	\$250,000 - \$499,999	0.05%
Tier 4	\$500,000 - \$999,999	0.10%
Tier 5	\$1,000,000 - \$1,999,999	0.15%
Tier 6	\$2,000,000 - \$4,999,999	0.20%
Tier 7	\$5,000,000 - \$9,999,999	0.25%
Tier 8	\$10,000,000 - \$19,999,999	0.25%
Tier 9	\$20,000,000 or greater	0.25%

The Stephens Insured Bank Sweep Program Interest Rates and Household Tier Levels shown above are current and became effective on the date indicated above. Interest rates and Household Interest Rate Tier levels will vary from time to time and may be higher or lower than rates and levels currently in effect. As of September 24, 2026, Stephens' average fee in the Program was 3.46%.