

# The Biden Regulatory Agenda: What to Expect After Midterm Elections

NOVEMBER 9, 2022 | 11:00AM ET

Stephens is hosting a conference call for institutional investors and Private Wealth Management clients with former SEC Commissioner Paul Atkins, founder and CEO of Patomak Partners, America's premier financial regulatory consulting firm. This call will discuss the Biden era's philosophy of financial regulation, major pending actions, the impact of midterm election results on the regulatory agenda, and important judicial challenges.

## About the Speaker



Paul Atkins is the founder and CEO of Patomak Global Partners, LLC. He leads client work for financial services firms regarding an array of matters, including Dodd-Frank Act compliance, domestic regulatory issues, European regulatory advice, and corporate governance. Mr. Atkins regularly serves as an independent compliance consultant and a court-appointed monitor in settlements involving federal agencies and regulators. His expert witness engagements include federal, state, and foreign litigation, as well as U.S. Securities and Exchange Commission matters.

Since 2017, Mr. Atkins has led industry efforts to develop best practices for digital asset issuances and trading platforms as co-chair of the Token Alliance. From 2012 to 2015, Mr. Atkins served as an independent director and non-executive Chairman of the Board of BATS Global Markets, Inc., a leading operator of electronic U.S. and European securities markets (since acquired by Cboe).

Prior to founding Patomak, Mr. Atkins served as an SEC Commissioner from 2002 to 2008 where he advocated for transparency, consistency, and the use of cost-benefit analysis at the agency. From 2009 to 2010, he was appointed a member of the Congressional Oversight Panel for the Troubled Asset Relief Program. Earlier in his career, he served on the staffs of SEC Chairmen Richard C. Breeden and Arthur Levitt as chief of staff and counsellor, respectively.

In private practice, Mr. Atkins was a partner of PricewaterhouseCoopers and its predecessor firm, Coopers & Lybrand. Mr. Atkins began his career as a lawyer in New York with Davis Polk & Wardwell and was resident for two and a half years in the firm's Paris office. He was admitted as conseil juridique in France in 1988.

Mr. Atkins received his J.D. from Vanderbilt University School of Law, and his A.B. from Wofford College, summa cum laude, Phi Beta Kappa. He also serves as Vice Chairman of the American Council on Germany.

## Topics of Discussion

- Philosophy of Biden-Era Financial Regulators
- Agencies to Watch (SEC, CFPB, Fed, etc.) and Major Pending Actions
- Impact of Midterm Election Results and Role of Congress
- Important Judicial Challenges to the Regulatory State
- Financial Regulation and Impact on Innovation

Conference call date and time subject to change. To join the call or to obtain more information, please contact your Stephens sales representative.

## Conference Call Host



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